

# **Mesoscale tropospheric motions as a three time scale problem: towards a rigorous justification of the pseudo-incompressible model**

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# Thanks to ...

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## **Background on sound-proof models**

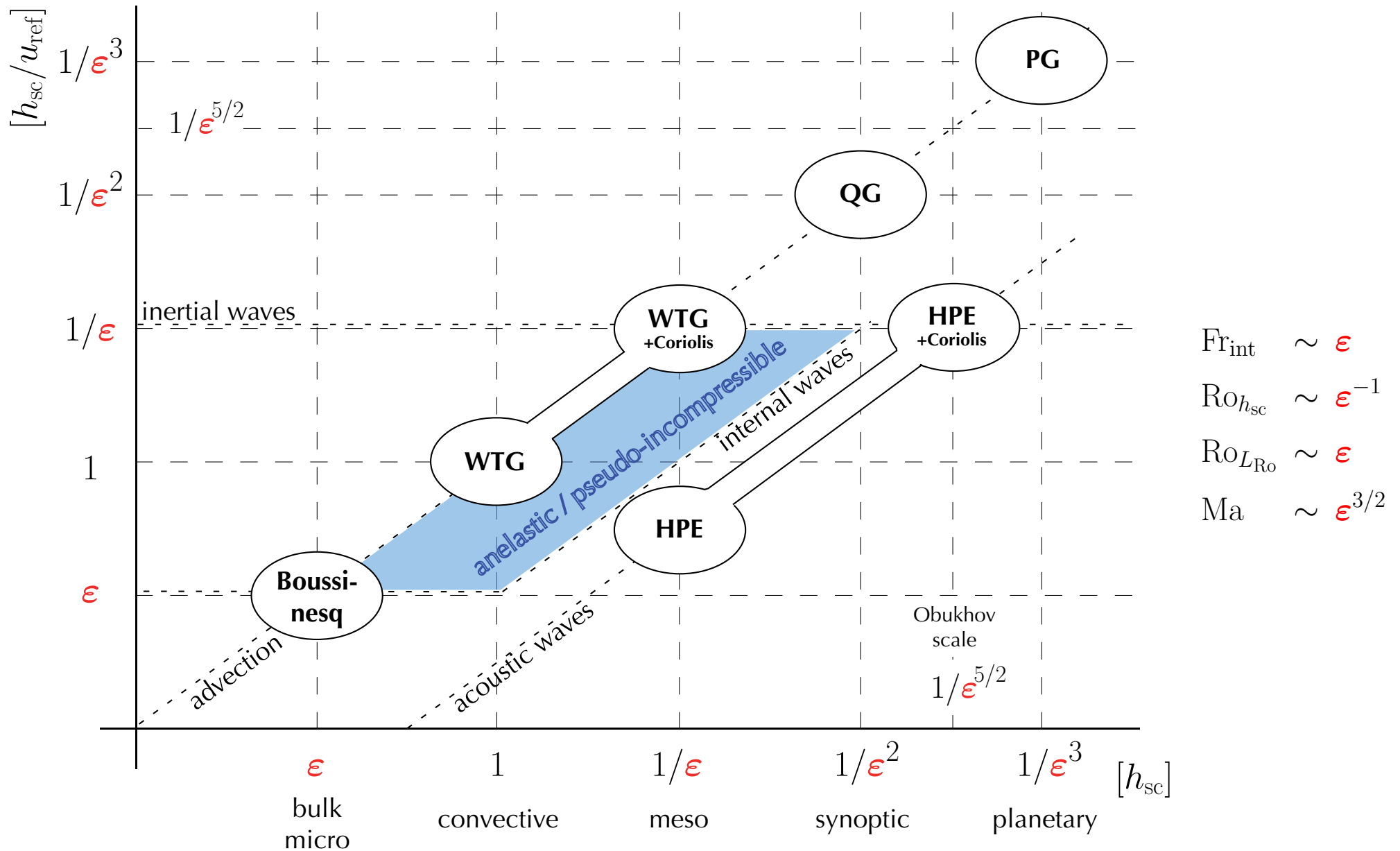
Formal asymptotic regime of validity

Steps towards a rigorous proof

Summary

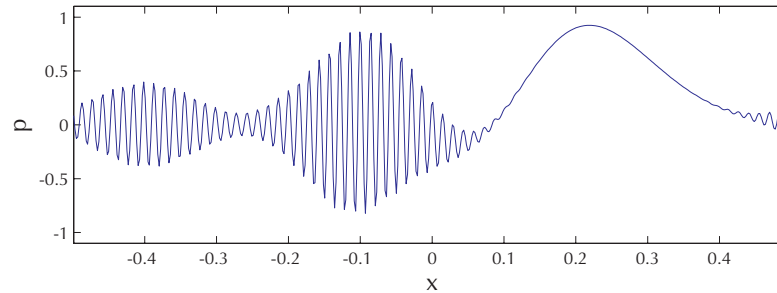
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# Atmospheric Flow Regimes

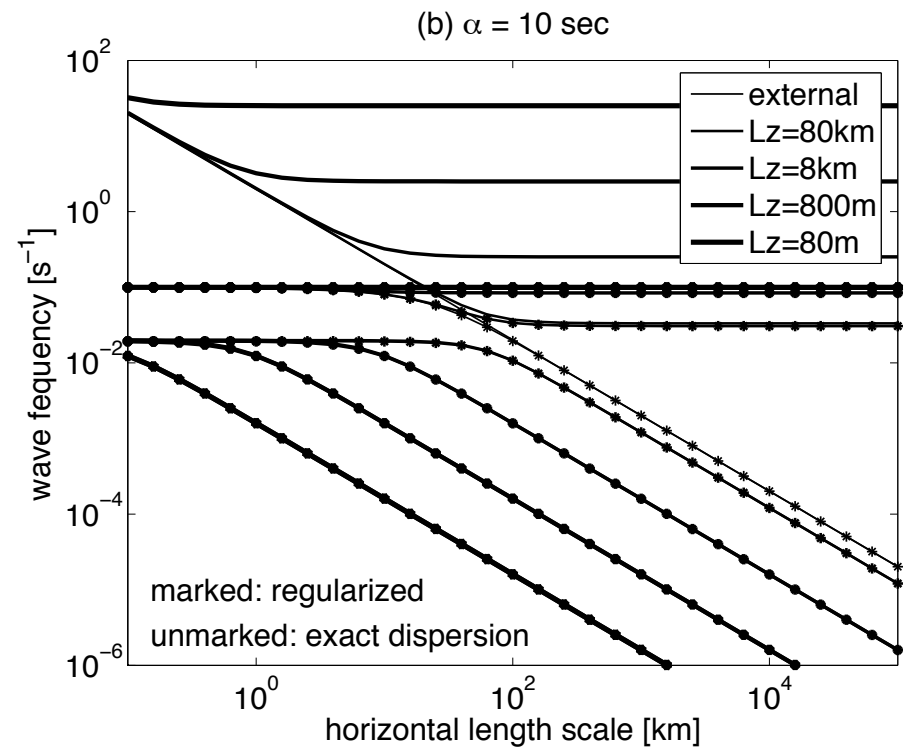
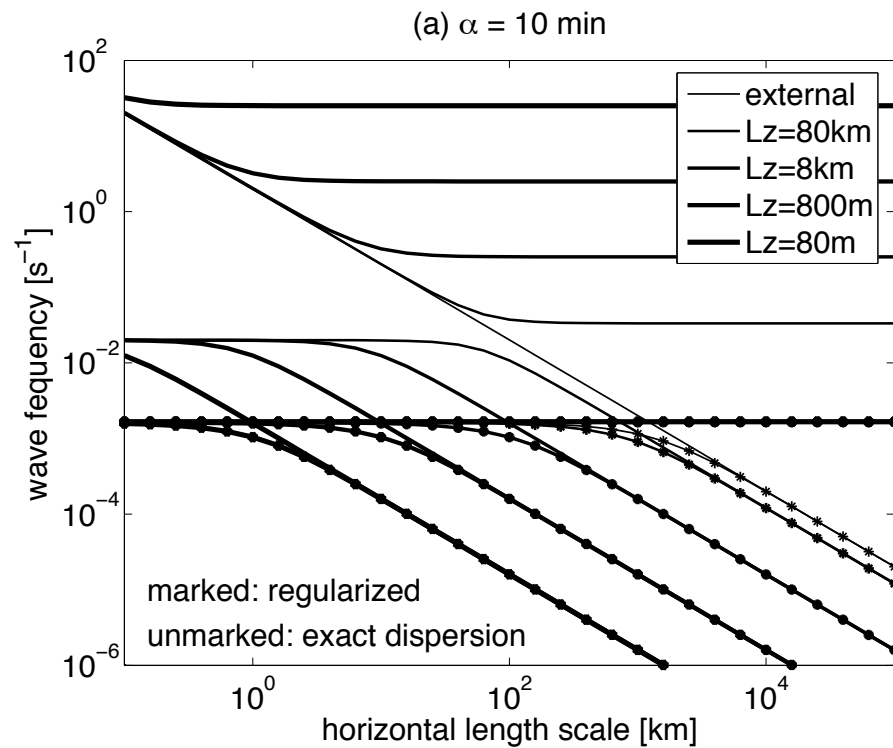


# Motivation ... Numerics

## Why not simply solve the full compressible equations?



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\* adapted from Reich et al. (2007)

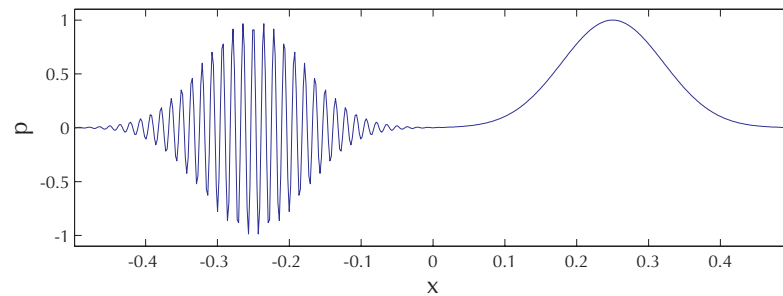
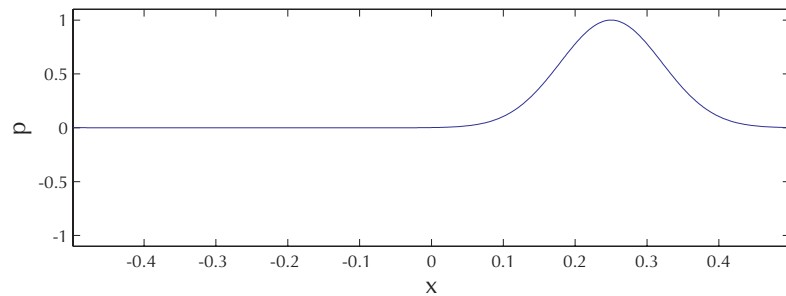
# Motivation ... Numerics

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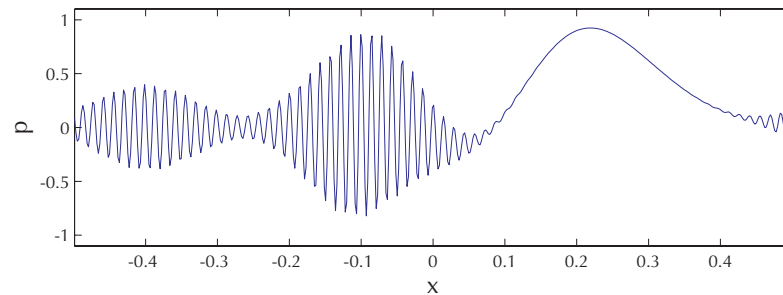
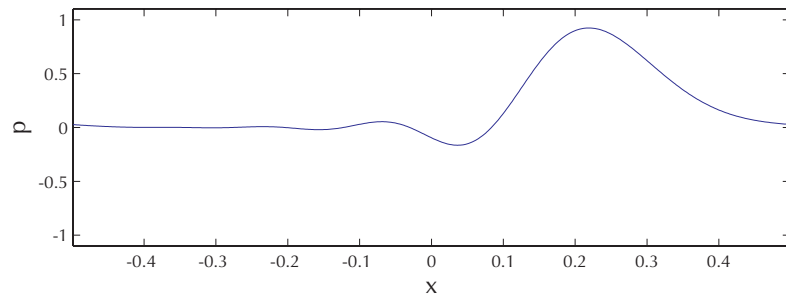
## Why not simply solve the full compressible equations?

Linear Acoustics, simple wave initial data, periodic domain

(integration: *implicit midpoint rule*, *staggered grid*, 512 grid pts., CFL = 10)



$t = 0$



$t = 3$

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**Central question:**

**What is the correct small-scale behavior  
of a  
full compressible flow solver  
for sub-acoustic time scales**

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# Sound-Proof Models

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## Compressible flow equations

$$\rho_t + \nabla \cdot (\rho \mathbf{v}) = 0$$

$$(\rho \mathbf{u})_t + \nabla \cdot (\rho \mathbf{v} \circ \mathbf{u}) + P \nabla_{\parallel} \pi = 0$$

$$(\rho w)_t + \nabla \cdot (\rho \mathbf{v} w) + P \pi_z = -\rho g$$

$$P_t + \nabla \cdot (P \mathbf{v}) = 0$$

$$P = p^{\frac{1}{\gamma}} = \rho \theta, \quad \pi = p / \Gamma P, \quad \Gamma = c_p / R, \quad \mathbf{v} = \mathbf{u} + w \mathbf{k} \quad (\mathbf{u} \cdot \mathbf{k} \equiv 0)$$

drop term for:

**anelastic**<sup>†</sup> (approx.)

**pseudo-incompressible**\*

**hydrostatic-primitive**

**Parameter range & length and time scales of asymptotic validity ?**

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<sup>†</sup> e.g. Lipps & Hemler, JAS, **29**, 2192–2210 (1982)

\* Durran, JAS, **46**, 1453–1461 (1989)



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From here on:  $\epsilon$  is the Mach number

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# Regimes of Validity ... Design Regime

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## Characteristic inverse time scales

	dimensional	dimensionless
<b>advection</b> :	$\frac{u_{\text{ref}}}{h_{\text{sc}}}$	1
<b>internal waves</b> :	$N = \sqrt{\frac{g d\bar{\theta}}{\bar{\theta} dz}}$	$\frac{\sqrt{gh_{\text{sc}}}}{u_{\text{ref}}} \sqrt{\frac{h_{\text{sc}} d\bar{\theta}}{\bar{\theta} dz}} = \frac{1}{\epsilon} \sqrt{\frac{h_{\text{sc}} d\bar{\theta}}{\bar{\theta} dz}}$
<b>sound</b> :	$\frac{\sqrt{p_{\text{ref}}/\rho_{\text{ref}}}}{h_{\text{sc}}} = \frac{\sqrt{gh_{\text{sc}}}}{h_{\text{sc}}}$	$\frac{\sqrt{gh_{\text{sc}}}}{u_{\text{ref}}} = \frac{1}{\epsilon}$

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# Regimes of Validity ... Design Regime

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## Ogura & Phillips' regime\* with two time scales

$$\bar{\theta} = 1 + \epsilon^2 \hat{\theta}(z) + \dots \quad \Rightarrow \quad \frac{h_{\text{sc}} d\bar{\theta}}{\bar{\theta} dz} = O(\epsilon^2)$$

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\* Ogura & Phillips (1962)

# Regimes of Validity ... Design Regime

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## Ogura & Phillips' regime\* with two time scales

$$\bar{\theta} = 1 + \epsilon^2 \hat{\theta}(z) + \dots \quad \Rightarrow \quad \frac{h_{\text{sc}} d\bar{\theta}}{\bar{\theta} dz} = O(\epsilon^2) \quad \Rightarrow \quad \Delta \bar{\theta} \Big|_{z=0} < 1 \text{ K}$$

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\* Ogura & Phillips (1962)

# Regimes of Validity ... Design Regime

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## Characteristic inverse time scales

	dimensional	dimensionless
<b>advection</b> :	$\frac{u_{\text{ref}}}{h_{\text{sc}}}$	1
<b>internal waves</b> :	$N = \sqrt{\frac{g d\bar{\theta}}{\bar{\theta} dz}}$	$\frac{\sqrt{gh_{\text{sc}}}}{u_{\text{ref}}} \sqrt{\frac{h_{\text{sc}} d\bar{\theta}}{\bar{\theta} dz}} = \frac{1}{\epsilon^\nu} \sqrt{\frac{h_{\text{sc}} d\hat{\theta}}{\bar{\theta} dz}}$
<b>sound</b> :	$\frac{\sqrt{p_{\text{ref}}/\rho_{\text{ref}}}}{h_{\text{sc}}} = \frac{\sqrt{gh_{\text{sc}}}}{h_{\text{sc}}}$	$\frac{\sqrt{gh_{\text{sc}}}}{u_{\text{ref}}} = \frac{1}{\epsilon}$

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## Realistic regime with **three time scales**

$$\bar{\theta} = 1 + \epsilon^\mu \hat{\theta}(z) + \dots \quad \Rightarrow \quad \frac{h_{\text{sc}} d\bar{\theta}}{\bar{\theta} dz} = O(\epsilon^\mu) \quad (\nu = 1 - \mu/2)$$

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# Regimes of Validity ... Design Regime

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## Full compressible flow equations in perturbation variables

$$\begin{aligned}\tilde{\theta}_\tau + \frac{1}{\varepsilon^\nu} \tilde{w} \frac{d\hat{\theta}}{dz} &= -\tilde{\mathbf{v}} \cdot \nabla \tilde{\theta} \\ \tilde{\mathbf{v}}_\tau - \frac{1}{\varepsilon^\nu} \frac{\tilde{\theta}}{\bar{\theta}} \mathbf{k} + \frac{1}{\varepsilon} \bar{\theta} \nabla \tilde{\pi} &= -\tilde{\mathbf{v}} \cdot \nabla \tilde{\mathbf{v}} - \varepsilon^{1-\nu} \tilde{\theta} \nabla \tilde{\pi} . \\ \tilde{\pi}_\tau + \frac{1}{\varepsilon} \left( \gamma \Gamma \bar{\pi} \nabla \cdot \tilde{\mathbf{v}} + \tilde{w} \frac{d\bar{\pi}}{dz} \right) &= -\tilde{\mathbf{v}} \cdot \nabla \tilde{\pi} - \gamma \Gamma \tilde{\pi} \nabla \cdot \tilde{\mathbf{v}}\end{aligned}$$

For the linear **variable coefficient** system:

- ✓ Conservation of weighted quadratic energy
  - ✓ Control of time derivatives by initial data ( $\tau = O(1)$ )
  - ✓ Control of horizontal derivatives
  - ✓ Control of vertical derivatives [via eigenmode expansions](#) (see below)
  - (•) Control of nonlinear resonances (see below)
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# Regimes of Validity ... Design Regime

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Fast linear compressible / pseudo-incompressible modes

$$\tilde{\theta}_{\vartheta} + \tilde{w} \frac{d\bar{\theta}}{dz} = 0$$

$$\tilde{\mathbf{v}}_{\vartheta} - \frac{\tilde{\theta}}{\bar{\theta}} \mathbf{k} + \bar{\theta} \nabla \pi^* = 0$$

$$\epsilon^{\mu} \pi_{\vartheta}^* + \left( \gamma \Gamma \bar{\pi} \nabla \cdot \tilde{\mathbf{v}} + \tilde{w} \frac{d\bar{\pi}}{dz} \right) = 0$$

Vertical mode expansion (separation of variables)

$$\begin{pmatrix} \tilde{\theta} \\ \tilde{\mathbf{u}} \\ \tilde{w} \\ \pi^* \end{pmatrix} (\vartheta, \mathbf{x}, z) = \begin{pmatrix} \Theta^* \\ \mathbf{U}^* \\ W^* \\ \Pi^* \end{pmatrix} (z) \exp(i[\omega\vartheta - \boldsymbol{\lambda} \cdot \mathbf{x}])$$



# Regimes of Validity ... Design Regime

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## Relation between compressible and pseudo-incompressible vertical modes

$$-\frac{d}{dz} \left( \frac{1}{1 - \epsilon^\mu \frac{\omega^2/\lambda^2}{\bar{c}^2}} \frac{1}{\theta \bar{P}} \frac{dW^*}{dz} \right) + \frac{\lambda^2}{\theta \bar{P}} W^* = \frac{1}{\omega^2} \frac{\lambda^2 N^2}{\theta \bar{P}} W^*$$

$\epsilon^\mu = 0$ : pseudo-incompressible case

regular Sturm-Liouville problem for internal wave modes

*(rigid lid)*

$\epsilon^\mu > 0$ : compressible case

nonlinear Sturm-Liouville problem\* ...

$\frac{\omega^2/\lambda^2}{\bar{c}^2} = O(1)$  : perturbations of pseudo-incompressible modes & EVals

# Regimes of Validity ... Design Regime

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$$-\frac{d}{dz} \left( \frac{1}{1 - \epsilon \mu \frac{\omega^2/\lambda^2}{c^2}} \frac{1}{\bar{\theta} \bar{P}} \frac{dW^*}{dz} \right) + \frac{\lambda^2}{\bar{\theta} \bar{P}} W^* = \frac{1}{\omega^2} \frac{\lambda^2 N^2}{\bar{\theta} \bar{P}} W^*$$

**Internal wave modes**  $\left( \frac{\omega^2/\lambda^2}{c^2} = O(1) \right)$

- pseudo-incompressible modes/EVals = compressible modes/EVals +  $O(\epsilon^\mu)$  †
- phase errors remain small **over advection time scales** for  $\mu > \frac{2}{3}$

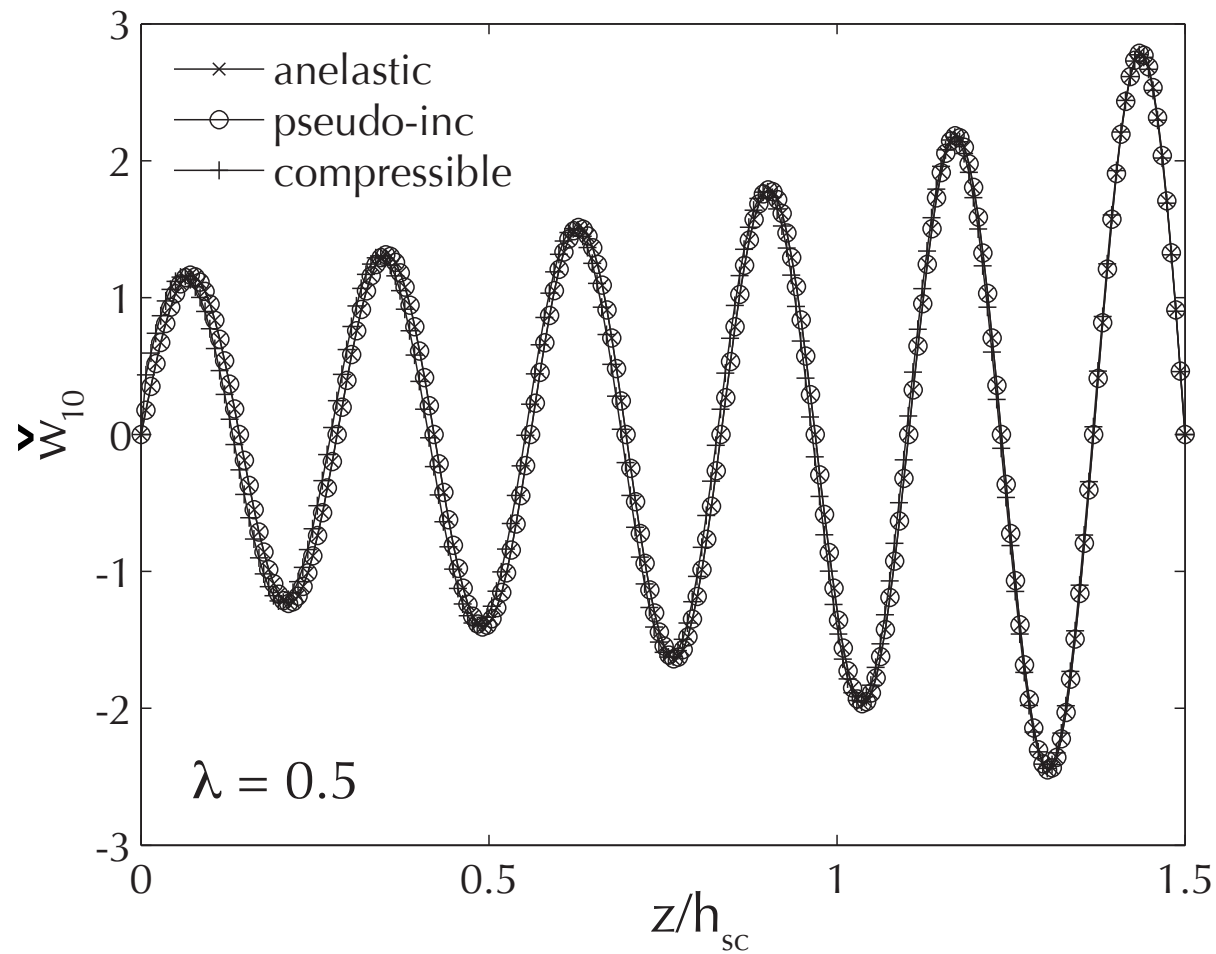
The **anelastic** and **pseudo-incompressible** models remain relevant for stratifications

$$\frac{1}{\bar{\theta}} \frac{d\bar{\theta}}{dz} < O(\epsilon^{2/3}) \quad \Rightarrow \quad \Delta\theta|_0^{h_{sc}} \lesssim 40 \text{ K}$$

not merely up to  $O(\epsilon^2)$  as in Ogura-Phillips (1962)

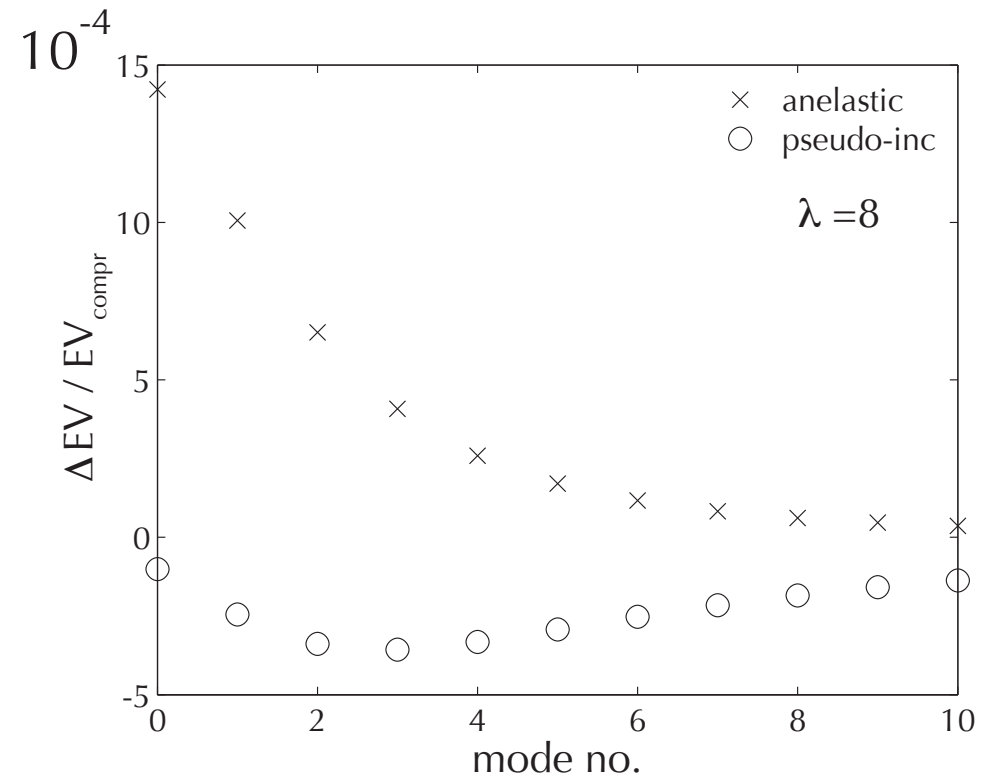
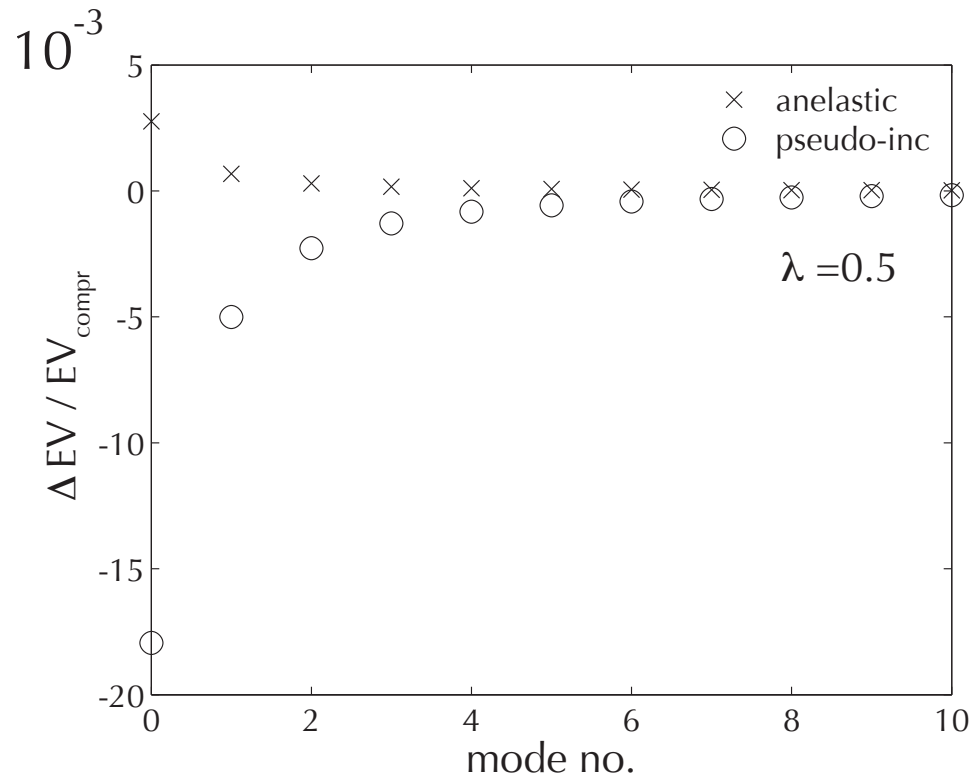
# Regimes of Validity ... Design Regime

A typical vertical structure function ( $L \sim \pi h_{sc} \sim 30$  km;  $\epsilon^\mu = 0.1$ )



# Regimes of Validity ... Design Regime

Relative errors for the eigenvalues ( $\epsilon^\mu = 0.1$ )



$$\frac{EV_{\text{sproof}} - EV_{\text{compr}}}{EV_{\text{compr}}}$$

# Regimes of Validity ... Design Regime

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Sample **EULAG**-simulation of a  $\lambda = 106$  km,  $m = 0$  – eigenmode for

$$\bar{\theta}(z) = \frac{T_{\text{ref}}}{1 - 0.1(z/h_{\text{sc}})}$$

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**Steps towards a rigorous proof**

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# Steps in the proof

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$$\begin{aligned}\tilde{\theta}_\tau + \frac{1}{\varepsilon^\nu} \tilde{w} \frac{d\hat{\theta}}{dz} &= -\tilde{\mathbf{v}} \cdot \nabla \tilde{\theta} \\ \tilde{\mathbf{v}}_\tau + \frac{1}{\varepsilon^\nu} \frac{\tilde{\theta}}{\theta} \mathbf{k} + \frac{1}{\varepsilon} \bar{\theta} \nabla \tilde{\pi} &= -\tilde{\mathbf{v}} \cdot \nabla \tilde{\mathbf{v}} - \varepsilon^{1-\nu} \tilde{\theta} \nabla \tilde{\pi} . \\ \tilde{\pi}_\tau + \frac{1}{\varepsilon} \left( \gamma \Gamma \bar{\pi} \nabla \cdot \tilde{\mathbf{v}} + \tilde{w} \frac{d\bar{\pi}}{dz} \right) &= -\tilde{\mathbf{v}} \cdot \nabla \tilde{\pi} - \gamma \Gamma \tilde{\pi} \nabla \cdot \tilde{\mathbf{v}}\end{aligned}$$

Existence & uniqueness of solutions for  $t \leq T$  with  $T$  independent of  $\varepsilon$

1. via energy estimates\*

- $L^2$  control of derivatives in the fast linear system
- nonlinear terms: Picard iteration exploiting Sobolev embedding

2. via spectral expansions (on bounded domains)\*

- “non-resonance” through non-linear terms or
- effective eqs. for resonant subsets of modes

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\*Majda, Metivier, Schochet, Embid, ...

\*Babin, Mahalov, Nicolaenko, Dutrifoy ...

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