

Introduction

Numerical
simulations

The large friction
limit

Ribbons as
equilibrium states

The role of
baroclinic
instability

Ribbon turbulence

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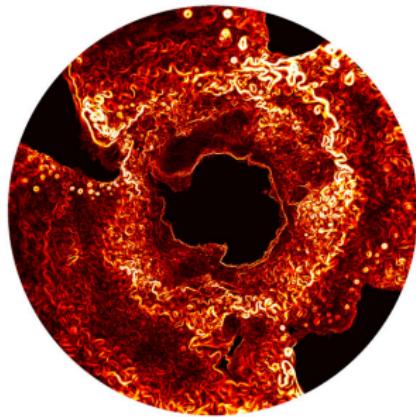
² PAOC, DEAPS, MIT

³ CEMPS, University of Exeter

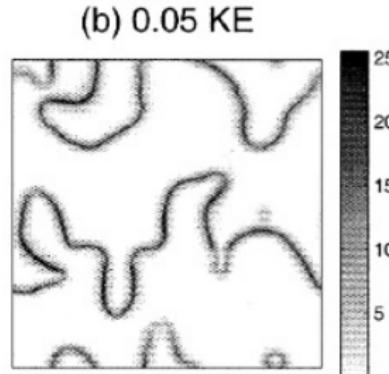
May 12-14, 2014



Sharp and meandering jets



Surface oceanic currents.
From ECCO2



Large friction, baroclinic turbulence
From Arbic & Flierl, JPO 04

**Can we understand the spontaneous emergence and the dynamics of these ribbons ?
Statistical Mechanics approach**

Sharp and meandering jets

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- ① Previous interpretation of sharp jets as **equilibrium states of 1-1/2 layer QG model** (one active layer above a deeper layer at rest) *Bouchet Sommeria JFM 02, Venaille Bouchet JPO 11*

- ② Yet **baroclinic instability requires at least two layers.**

Previous work on the vertical structure of geostrophic turbulence:

- stratification *Smith Vallis JPO 01 02*
- **bottom friction** *Thompson Young JPO 06, Arbic Flierl JPO 04*
- bottom topography, beta effect,...

Outline

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- ① Numerical simulations of two-layer baroclinic turbulence
- ② 1-1/5 QG turbulence in the large bottom friction limit
- ③ Statistical mechanics interpretation of the ribbons
- ④ The role of baroclinic instability

2 layers QG flow in a channel

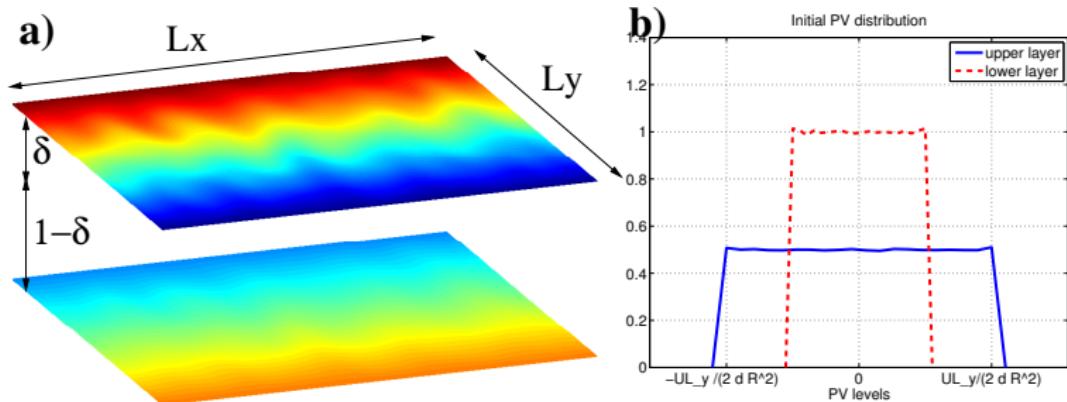
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$$\begin{aligned}\partial_t q_1 + J(\Psi_1, q_1) &= 0, \\ \partial_t q_2 + J(\Psi_2, q_2) &= -r \nabla^2 \Psi_2\end{aligned}$$

2 layers QG flow in a channel

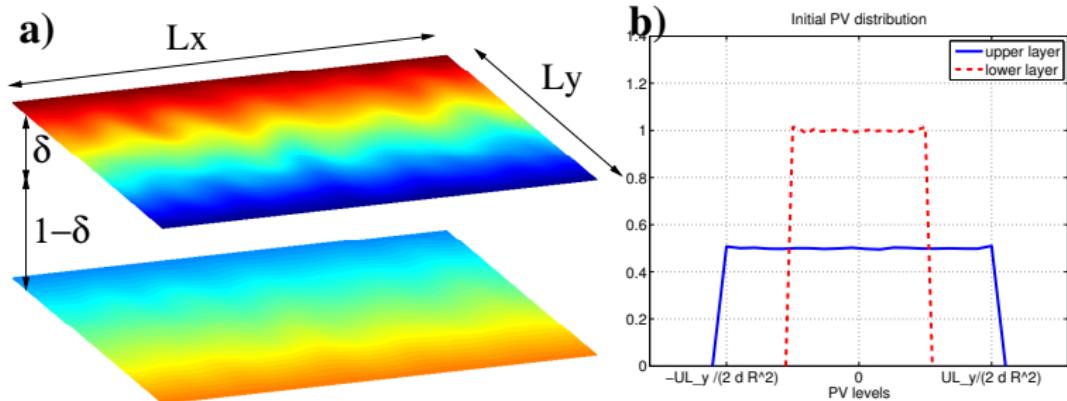
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$$\begin{aligned} q_1 &= \nabla^2 \Psi_1 + \frac{\Psi_2 - \Psi_1}{\delta R^2}, \\ q_2 &= \nabla^2 \Psi_2 + \frac{\Psi_1 - \Psi_2}{(1 - \delta) R^2} \end{aligned}$$

2 layers QG flow in a channel

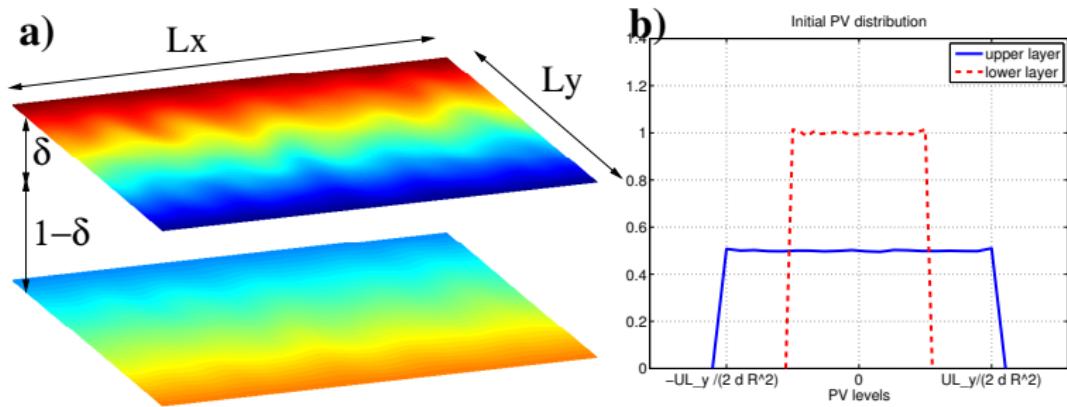
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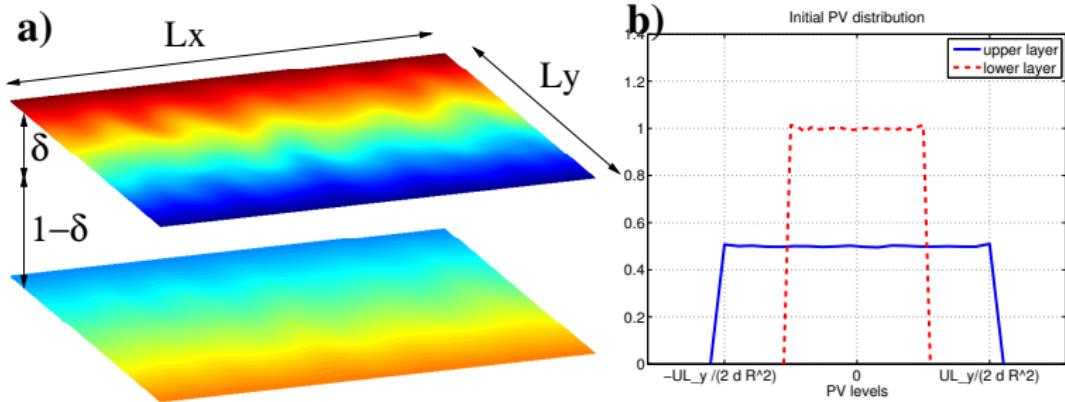


$$\Psi_1 = -Uy + \psi_1 ,$$

$$\Psi_2 = 0 + \psi_2$$

2 layers QG flow in a channel

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$$\begin{aligned} q_1 &= \nabla^2 \psi_1 + \frac{\psi_2 - \psi_1}{\delta R^2} + \frac{U}{\delta R^2} y, \\ q_2 &= \nabla^2 \psi_2 + \frac{\psi_1 - \psi_2}{(1-\delta) R^2} - \frac{U}{(1-\delta) R^2} y. \end{aligned}$$

Dynamical invariants

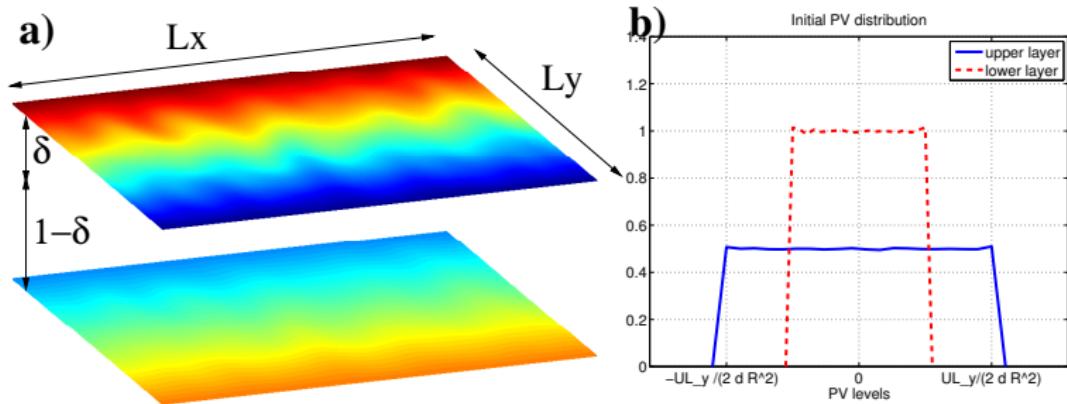
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$$\begin{aligned}\partial_t q_1 + J(\psi_1 - Uy, q_1) &= 0, \\ \partial_t q_2 + J(\psi_2, q_2) &= -r\nabla^2\psi_2\end{aligned}$$

Conservation of the global distribution of potential vorticity levels in the upper layer

This is true for a channel geometry, not for a doubly periodic domain

Parameters

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- ① Bottom friction rU/R
- ② Rossby radius R/L_y
- ③ Vertical aspect ratio δ
- ④ Horizontal aspect ratio L_x/L_y

What is the effect of bottom friction ?

Emergence of the ribbons

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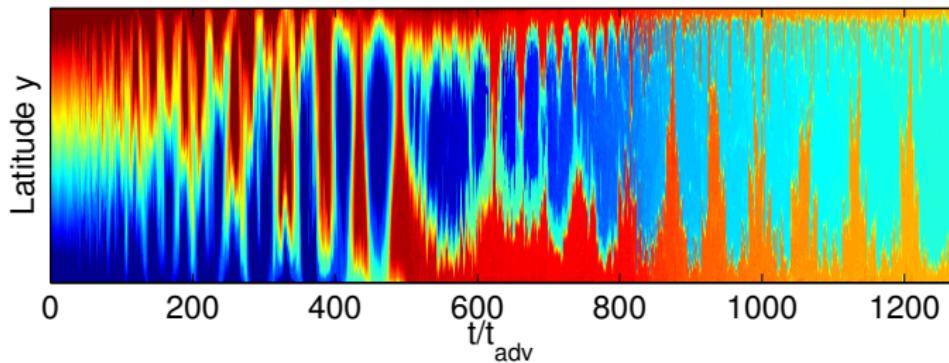
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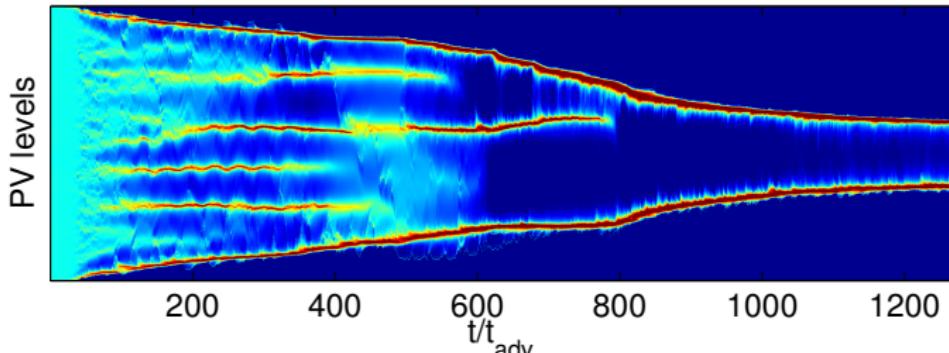
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(a) Potential vorticity slice in the upper layer



(b) Potential vorticity distribution in the upper layer



Energy budget for the perturbation

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$$E = \frac{1}{2} \int_{\mathcal{D}} dx dy \delta (\nabla \psi_1)^2 + (1 - \delta) (\nabla \psi_2)^2 + \frac{(\psi_1 - \psi_2)^2}{R^2}$$

$$\boxed{\frac{R}{U} \frac{d}{dt} E = \frac{1}{R} \int_{\mathcal{D}} dx dy \psi_1 \partial_x \psi_2 - (1 - \delta) \frac{rR}{U} \int_{\mathcal{D}} dx dy (\nabla \psi_2)^2}$$

This holds for both the doubly periodic and the channel geometry.

Energy budget for perturbation plus mean flow

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$$E_{tot} = \frac{1}{2} \int_{\mathcal{D}} dx dy \delta (\nabla (\psi_1 - Uy))^2 + (1-\delta) (\nabla \psi_2)^2 + \frac{(\psi_1 - Uy - \psi_2)^2}{R^2}$$

$$\boxed{\frac{d}{dt} E_{tot} = -(1-\delta)r \int_{\mathcal{D}} dx dy (\nabla \psi_2)^2 .}$$

This holds only for the channel geometry.

Temporal evolution of the total energy

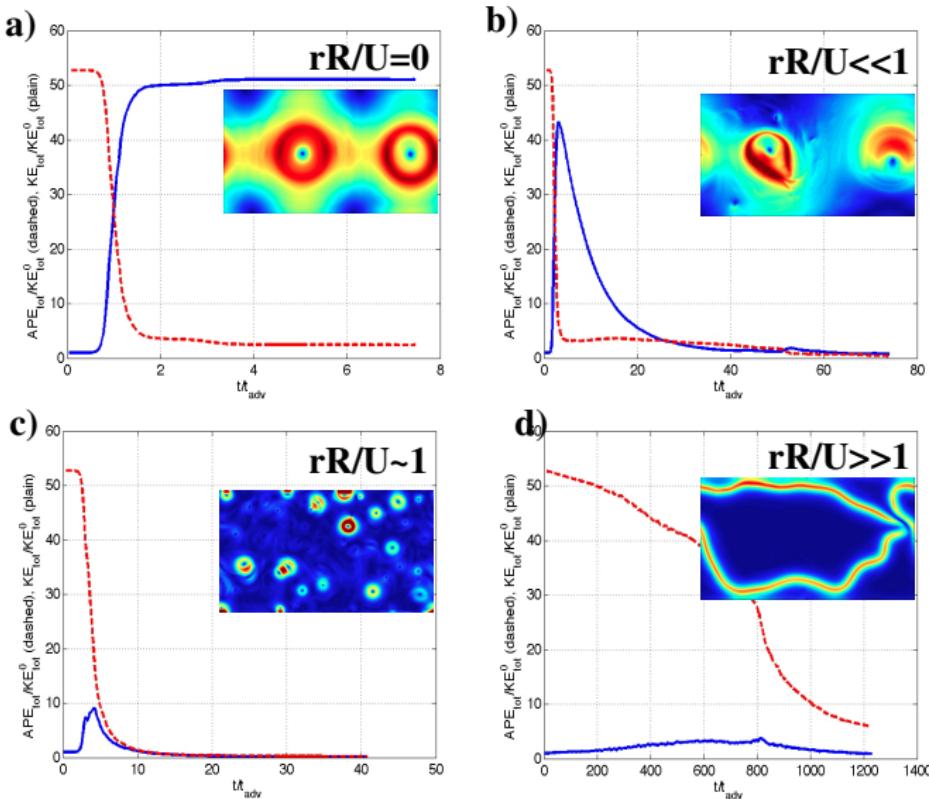
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Large bottom frictions

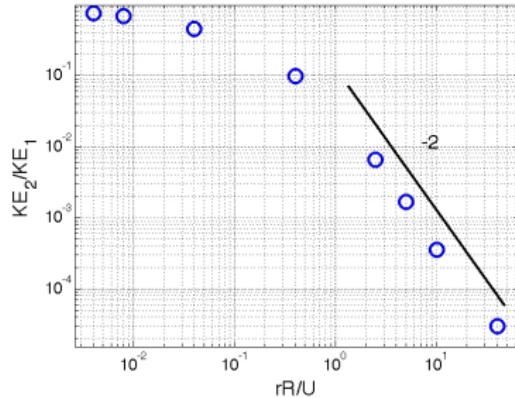
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$$\frac{\psi_2}{\psi_1} \sim \left(\frac{rR}{U} \right)^{-2}$$

$$\frac{R}{U} \frac{d}{dt} E = \frac{1}{R} \int_{\mathcal{D}} dx dy \, \psi_1 \partial_x \psi_2 - (1-\delta) \frac{rR}{U} \int_{\mathcal{D}} dx dy \, (\nabla \psi_2)^2$$

Dynamics

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$$\Psi_1 = \psi_1 - Uy, \quad \psi_2 \ll \psi_1$$

$$\partial_t q_1 + J(\Psi_1, q_1) = 0,$$

$$q_1 = \nabla^2 \Psi_1 - \frac{\Psi_1}{\delta R^2},$$

For a given energy and potential vorticity distribution, can we predict the large scale flow ?

Equilibrium states

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- $\partial_t q_1 + J(\Psi_1, q_1) = 0$
- “**Incompressibility constraint:**” conservation of the area A_σ associated with each level σ of PV
- **Energy conservation:** $E = \frac{1}{2} \int d\mathbf{r} (\nabla \Psi_1)^2 + \frac{\Psi_1^2}{\delta R^2}$
- Equilibrium theory by *Miller (1990), Robert Sommeria (1991)*

The observed flow is the most probable one among all the states that satisfy the constraints of the dynamics

Small R limit

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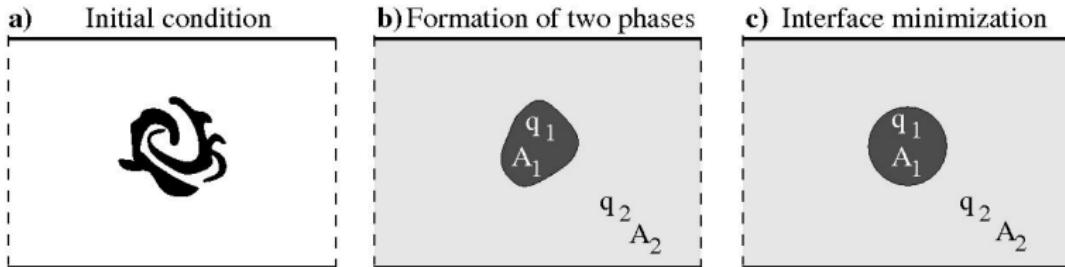
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Bouchet Sommeria JFM 02 studied one class of equilibria the limit
 $\delta^{1/2}R \ll L_y$

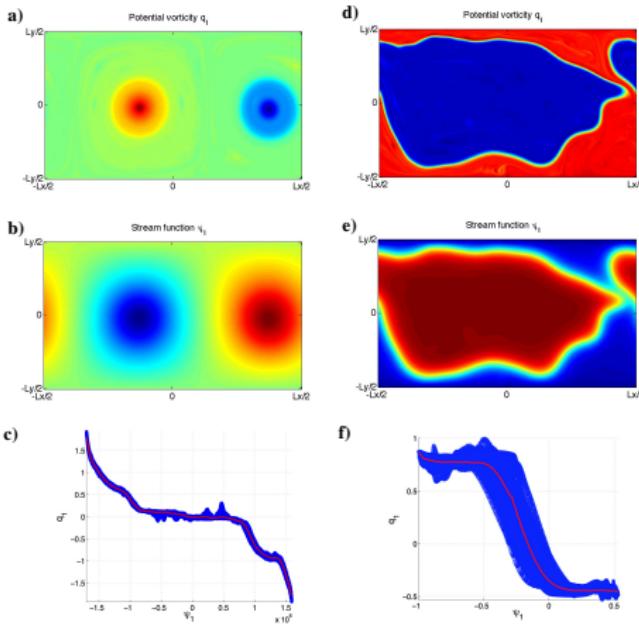
$$q_1 = \Delta\Psi_1 - \frac{\Psi_1}{\delta R^2}$$



- Formation of different phases of homogenized PV with strong jets at their interface.
- Interfaces “cost” free energy
- \tanh -relation between q_1 and Ψ_1

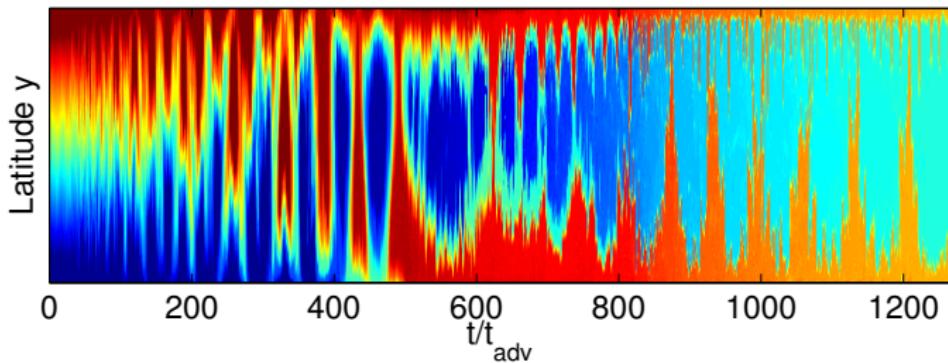
q-psi relation

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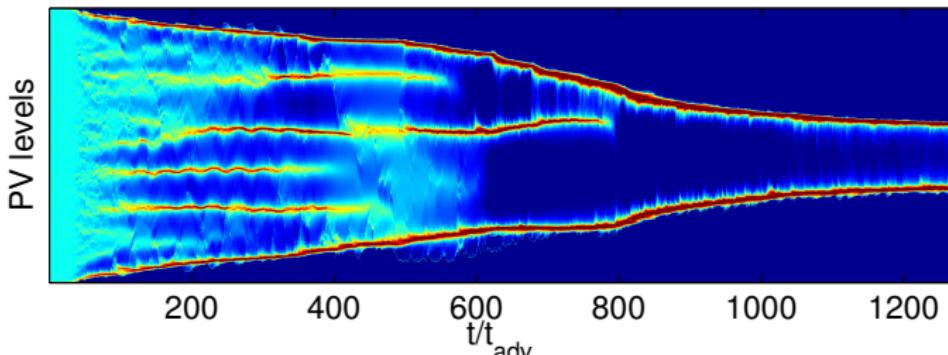
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Emergence of the ribbons

(a) Potential vorticity slice in the upper layer



(b) Potential vorticity distribution in the upper layer



Time scale for energy dissipation

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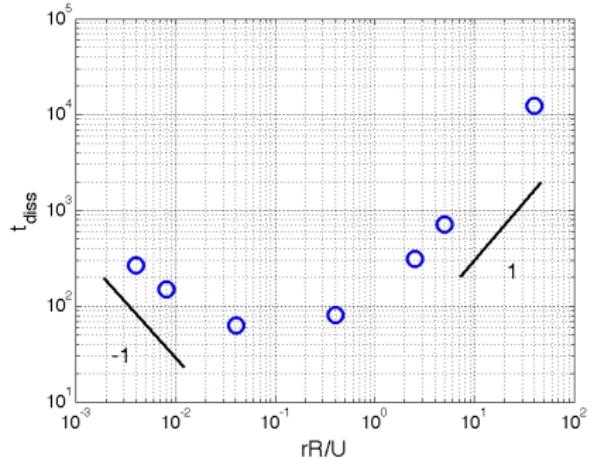
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Increasing bottom friction decreases the time scale for energy dissipation when $rU/R \gg 1$!



Dimensional analysis: $t_{diss} \sim r^{-1} f \left(\frac{rR}{U}, \frac{R}{L_y}, \delta, \frac{L_x}{L_y} \right)$

Time scale for energy dissipation

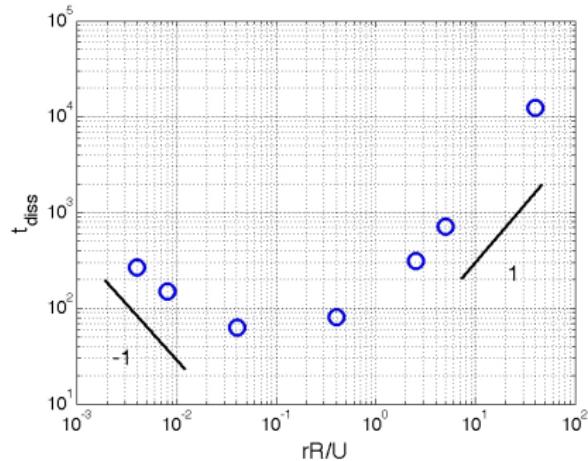
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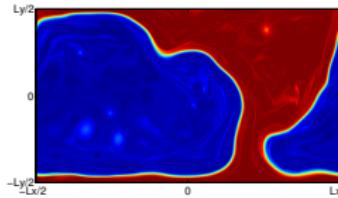
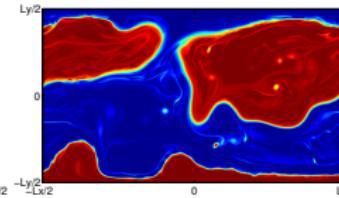
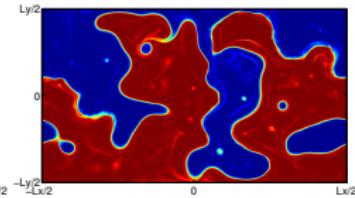
$$t_{diss} \sim \frac{1}{r} \left(\frac{rR}{U} \right)^2 \frac{L_y}{R}$$

$$\frac{R}{U} \frac{d}{dt} E = \frac{1}{R} \int_{\mathcal{D}} dx dy \psi_1 \partial_x \psi_2 - (1-\delta) \frac{rR}{U} \int_{\mathcal{D}} dx dy (\nabla \psi_2)^2$$

The interface length

- Blobs of homogenized PV of size L_{blob} with $\Psi_1 \sim UL_y$.
- Inertial time scale t_{relax} estimated using planetary QG

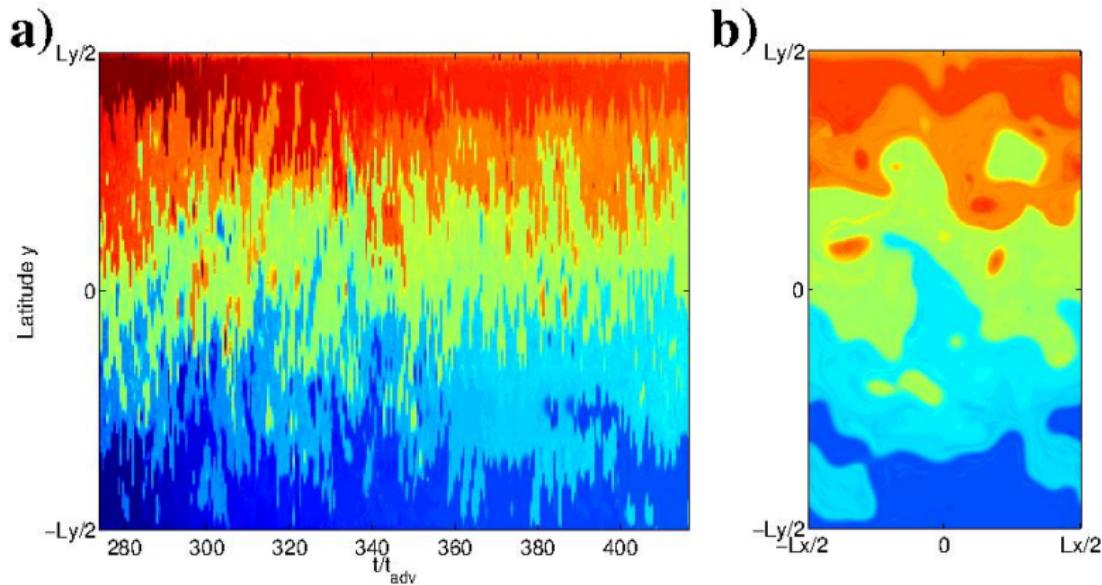
$$\partial_t(\Psi_1/\delta R^2) = J(\Psi_1, \nabla^2 \Psi_1)$$
- Baroclinic instability destabilizes the interface t_{diss}

a) $rR/U=40$ $R/Ly=0.1$ b) $rR/U=10$ $R/Ly=0.1$ c) $rR/U=40$ $R/Ly=0.05$ 

$$L_{blob} \sim L_y \left(\frac{rR}{U} \right)^{1/4} \left(\frac{R}{L_y} \right)^{1/2}$$

PV staircases

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Conclusion

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- ① Ribbons are sharp jets between regions of homogenized potential vorticity
- ② They appear in a large friction limit of baroclinic turbulence.
- ③ Their dynamics results from a competition between a tendency to reach an equilibrium state and baroclinic instability of the jets.
- ④ Energy dissipation time scale increases with bottom friction coefficient
- ⑤ Formation of PV staircases in the early evolution of the flow

Cascade phenomenology

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1.5 layer QG

$$\partial_t q_1 + J(\Psi_1, q_1) = 0 \text{ with } q_1 = \zeta_1 - \frac{\Psi_1}{\delta R^2}, \zeta_1 = \Delta \Psi_1$$

Small scales $\ll \delta^{1/2} R$

$$\partial_t \zeta_1 + J(\Psi_1, \zeta_1) = 0$$

$KE_1 = - \int d\mathbf{r} \zeta_1 \Psi_1$ cascades towards **large** scales.

$Z_1 = \int d\mathbf{r} \zeta_1^2$ cascades towards **small** scales.

Large scales $\gg \delta^{1/2} R$

$$\partial_\tau \Psi_1 + J(\zeta_1, \Psi_1) = 0 \quad \tau = R^2 t$$

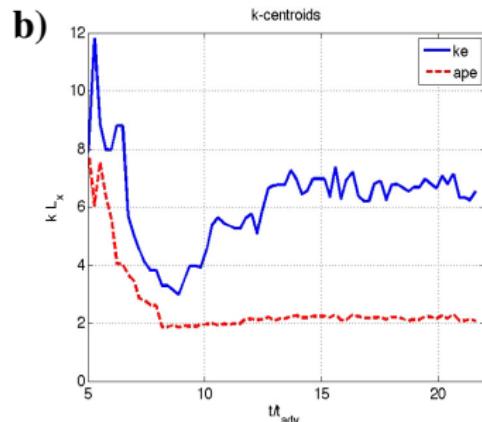
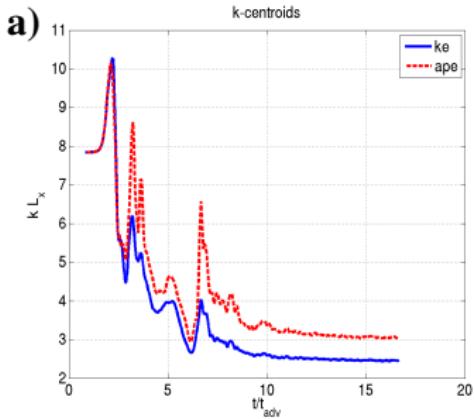
$KE_1 = - \int d\mathbf{r} \zeta_1 \Psi_1$ cascades towards **small** scales.

$APE = \int d\mathbf{r} \Psi_1^2$ cascades toward **large** scales.

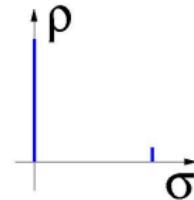
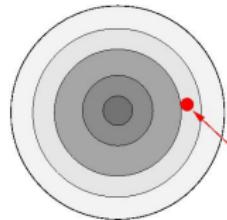
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Centroids



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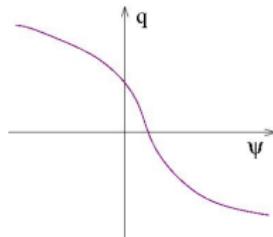
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instabilitymicro
 $q(x,y,t)$  A_σ, E macro
 $\rho(x,y,\sigma)$ 

- To find $\bar{q} = \int d\sigma \sigma \rho$
- with $\bar{q} - \beta y = \Delta \psi - \psi/R^2$
- Maximize $\mathcal{S} = - \int dx dy d\sigma \rho \ln \rho$
- With constraints expressed in term of ρ .

Critical points are dynamical equilibria

$\delta\mathcal{S} - \beta_T \delta\mathcal{E} + \int d\sigma \alpha \delta\mathcal{A}_\sigma = 0$ gives a relation $\rho(\psi)$

$$\bar{q}(x, y) = \int d\sigma \sigma \rho = g(\bar{\psi})$$



Critical points could be entropy maxima, minima, or saddle

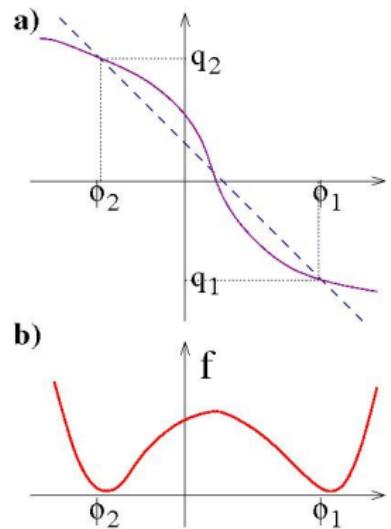
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instability*R Small*

- $q - \beta y = R^2 \Delta \phi - \phi$ ($\phi = \psi/R^2$)
- Minimize the free energy

$$\mathcal{F} = \int_D d\mathbf{r} \left[\frac{R^2 (\nabla \phi)^2}{2} + f(\phi) - \phi \beta y \right]$$
- With constraints $\mathcal{A} = \int_D dx dy \phi$
- Critical points satisfy

$$f'(\bar{\phi}) = \bar{q}(\phi) + 2\phi - \alpha$$



Thermodynamical analogy

Van der Waals Cahn Hilliard variational problem describing 1st order phase transitions.

$$\min \left\{ \int_D dx dy \left[\frac{R^2 (\nabla \phi)^2}{2} + f(\phi) \right] \mid \int_D dx dy \phi = \mathcal{A} \right\}$$

